

STATE OF ILLINOIS
INTERNAL AUDIT ADVISORY BOARD

Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

MINUTES

SIAAB Fall Government Auditing Conference
October 27, 28 and October 29, 2015

ROLL CALL

Members Present/Location:

Gary Shadid (Chair), Illinois Department of Agriculture - Springfield
Stephen Kirk (Vice Chair), Department of Transportation - Springfield
H. Jay Wagner, Office of the Attorney General - Springfield
Leighann Manning, Office of the Treasurer – Springfield
Tracy Allen, Office of the Comptroller – Springfield
Debbie Abbott, Central Management Services – Springfield
Jane Hewitt, Department of Human Services – Springfield
Julie Zemaitis, University of Illinois – Springfield

Absent

Stell Mallios, Secretary of State

MINUTES

The SIAAB Fall Government Auditing Conference was held on October 27, 28 and 29, 2015 at the Northfield Center, 3280 Northfield Drive, Springfield, IL. The Conference was scheduled, in accordance with the Fiscal Control and Internal Auditing Act requirements, to provide internal audit training only and accordingly, there were no Board actions taken, nor were there any motions taken or voted upon during the training event.

OCTOBER 27, 2015

There were 140 attendees present.

Registration for the Conference began at 8:00 am. Opening remarks were made at 8:30 am by Mr. Gary Shadid, SIAAB Chair.

The first presentation was made by Bryan Callahan CPA, CFE, CVA, Senior Managing Consultant of Forensic Valuation Services of BKD. The presentation focused on fraud prevention and detection.

The second presentation was made by Bryan Callahan CPA, CFE, CVA, Senior Managing Consultant of Forensic Valuation Services. The presentation focused on professional ethics.

The third presentation was made by Jim Dahlquist, Senior Manager of Training and Recruitment with the Illinois Auditor General's Office. The presentation focused on Yellow Book internal controls, ethics and fraud.

The fourth presentation was made by Cathy Baumann, Public Sector Leader and Audit Partner with KPMG. The presentation focused on government auditing standards and changes to Yellow Book.

A brief presentation was made by Mark Kimmet and Cassaundra Rouse of the Chicago IIA Chapter. The presentation focused on the Chicago Chapter's new formed Government Advisory Committee.

The first day of the two-day Conference adjourned at 4:20 pm.

OCTOBER 28, 2015

There were 135 attendees present.

Registration for the 2nd day of the Conference began at 7:45 am. Opening remarks were made at 8:25 am by Mr. Gary Shadid, SIAAB Chair.

The first presentation was made by Maggie Hickey, Executive Inspector General and Thomas Klein, Deputy Executive Inspector General for the State of Illinois. The presentation focused on ethics investigations.

The second presentation was made by Kevin O'Toole, Program Director with the Statewide ERP project. The presentation focused on the development of a new statewide accounting and enterprise management system.

The third presentation was made by Kirk Lonbom, Illinois Chief Information Security Officer. The presentation focused on state cyber security concerns.

The fourth and fifth presentations were made by Jim Pelletier, CIA, CGAP, Institute of Internal Auditors, Director of the American Center for Government Auditing. The presentations focused on 10 Imperatives for Internal Audit and Collaborative Auditing.

The sixth presentation was made by Michael Mask, Tom Stewart and Uriah Robins from Protiviti. The presentation focused on maintaining data security and auditing SharePoint.

The seventh presentation was made by Mike Porter, Illinois Central Management Services. The presentation focused on cloud vendors and safely storing data in the cloud.

Concurrent with the sixth and seventh presentations, Julie Zemaitis facilitated the University Auditors' Roundtable Discussion.

The second day of the two-day Conference adjourned at 4:20 pm.

OCTOBER 29, 2015

Registration for day 3 began at 7:45 am.

Concurrently Jeremy Mehochko facilitated an Information Technology Roundtable Discussion and Steve Kirk facilitated the Chief Internal Auditors' Roundtable Discussion.

The third day of the three-day Conference adjourned at 11:30 am.